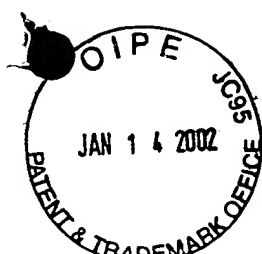


Section 4
✓



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Toshiaki KUNIYASU, et al.

Appln. No.: 09/973,814

Group Art Unit: 2881

Confirmation No.: 7822

Examiner: NOT YET ASSIGNED

Filed: October 11, 2001

For: SEMICONDUCTOR LASER DEVICE WITH A CURRENT NON-INJECTION REGION
NEAR A RESONATOR END FACE, AND FABRICATION METHOD THEREOF

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL
APPLICATION FILED UNDER 37 CFR 1.53(B) - FILING DATE GRANTED**

ATTN: BOX MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the "Notice to File Missing Parts of Nonprovisional Application filed under 37 CFR 1.53(b) - Filing Date Granted," mailed November 14, 2001 for the above application, Applicant respectfully submits herewith the Declaration for the above identified application properly executed by the inventors. Also enclosed please find Information Disclosure Statement and PTO FORM 1449 with references, Submission of executed Assignment and PTO Form 1595, Submission of Priority Documents and three (3) priority documents.

Checks for the statutory fee of \$130.00 and \$40.00 are attached. Please also charge any underpayment or credit any overpayment to Deposit Account No. 19-4880. A duplicate copy of this paper is attached.

Respectfully submitted,

Darryl Mexic
Registration No. 23,063

SUGHRUE MION, PLLC
2100 Pennsylvania Avenue, N.W.
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Date: January 14, 2002



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/973,814	10/11/2001	Toshiaki Kuniyasu	Q66676

CONFIRMATION NO. 7822

FORMALITIES LETTER



OC000000007067471

SUGHRUE MION ZINN
MACPEAK & SEAS, PLLC
2100 Pennsylvania Avenue, NW
Washington, DC 20037-3213

Date Mailed: 11/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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